



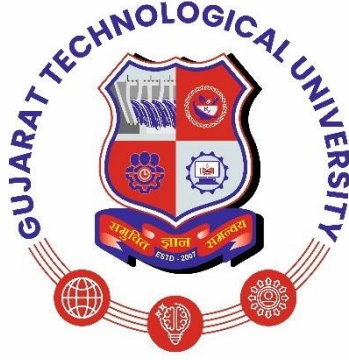
**GUJARAT TECHNOLOGICAL UNIVERSITY**



**PERFORMANCE APPRAISAL**

**REPORT OF TEACHERS**

**(PART)**



**Gujarat Technological University**

**Policy Document**

**On**

**Performance Appraisal  
Report of Teachers  
(PART)**

**(Effective from Academic Year 2020-21)**



## Background

Gujarat Technological University (GTU) is established in 2007 as a technological university with the objectives of developing knowledge of science, engineering, technology, management for the advancement of the quality of life of the mankind by creating centers and institutions of excellence in the above mentioned academic domains.

GTU is a State University with More than 420 affiliated colleges in its fold operating across the state of Gujarat through its FIVE zones at Ahmedabad, Gandhinagar, Vallabh Vidyanagar, Rajkot and Surat. The University caters to the fields of Engineering, Architecture, Management, Pharmacy and Computer Science. The University has about 4,00,000 students enrolled in a large number of Diploma, Under Graduate, Post Graduate programs along with the robust Doctoral program. GTU has successfully started its post Graduate Schools in the area of Engineering, Management, Pharmacy and Biotechnology and offering very unique AICTE/PCI approved programs. GTU believes that...

***“Literature offers the thrill of minds of great clarity wrestling with the endless problems and delights of being human. To engage with them is to engage with oneself, and the lasting rewards are not confined to specific career paths.”***



## **Vision**

To be a global university for the creation and dissemination of knowledge and Innovation in Science & Technology, Humanities and Multidisciplinary domains for sustainable development and enrichment of human life.

## **Mission**

1. To develop centres of academic excellence at university premises and at affiliated colleges in domains of science, engineering, technology, management, and environment for imparting comprehensive education, training, and research infrastructure as per the nation's requirements.
2. To build resources, facilities, proficiencies and other related infrastructure of global standard for the development of knowledge, skills, and competencies in the various educational domains.
3. To develop research-oriented pedagogy for flourishing ideas and to nurture innovators, entrepreneurs and professionals of tomorrow.
4. To build and enhance collaborations with other academic, research, industry, and government organizations as well as NGOs across the globe so that education, training and research at university and its affiliated colleges become aligned with national and global level requirements.
5. To encourage multidisciplinary research and develop flexible learning ecosystem.

## **Core Values**

1. Excellence with value-based education.
2. Responsiveness to society.
3. Integrity and transparency.
4. Mutual Respect.
5. Sustainable development.



## Form of Performance Appraisal Report of Teachers

### GUJARAT TECHNOLOGICAL UNIVERSITY

References:

1. AICTE Notification dated 1<sup>st</sup> March 2019 regarding faculty 360-degree evaluation
2. UGC Research Score criteria

### Section-I (To be filled by Faculty)

#### FACULTY INFORMATION

|                        |  |
|------------------------|--|
| Name                   |  |
| Designation            |  |
| Department & Institute |  |
| Academic Year          |  |
| PAR Duration           |  |

#### SECTION A: TEACHING-LEARNING PROCESS (MAX 30/20\* POINTS)

(A) Teaching and Learning Engagement (Max Points 20/10)

\* Max Points for Assistant Professor:20 and Associate Professor and Professor:10

| Sr No                           | Term-CAY    | Subject Code | Course Name | No of Classes Planned* | No of Classes Engaged* | Point earned                 | Supporting Document Page No | Point Approved by Reporting Officer | Point Approved by Review Officer |
|---------------------------------|-------------|--------------|-------------|------------------------|------------------------|------------------------------|-----------------------------|-------------------------------------|----------------------------------|
| A                               | B           | C            | D           | E                      | F                      | $G=(F*10)/E$<br>$G=(F*20)/E$ | H                           | I                                   | J                                |
| 1                               | Odd -20-21  |              | CCEL        | 40                     | 35                     | 08.75                        |                             |                                     |                                  |
| 2                               | Even -20-21 |              | OSHS        | 40                     | 30                     | 07.50                        |                             |                                     |                                  |
| 3                               |             |              |             |                        |                        |                              |                             |                                     |                                  |
| 4                               |             |              |             |                        |                        |                              |                             |                                     |                                  |
| <b>Average of points earned</b> |             |              |             |                        |                        | <b>08.12</b>                 |                             |                                     |                                  |

\*Including practical sessions (in terms of hours)

(B) Result Analysis (10 Points)

| Extraordinary | Excellent | Very Good | Good   | Satisfaction | Poor      |
|---------------|-----------|-----------|--------|--------------|-----------|
| 10            | 09        | 08        | 07     | 05           | 00        |
| 100-96%       | 95-90%    | 89-80%    | 79-70% | 69-55%       | Below 55% |

| Sr No                                  | Term-CAY | Subject Code | Course Name | No of Students Registered | No of Students Passed | Results (%)  | Supporting Document Page No | Point Approved by Reporting Officer | Point Approved by Review Officer |
|--|----------|--------------|-------------|---------------------------|-----------------------|--------------|-----------------------------|-------------------------------------|----------------------------------|
| A                                      | B        | C            | D           | E                         | F                     | G            | H                           | I                                   | J                                |
| 1                                      |          |              |             |                           |                       |              |                             |                                     |                                  |
| 2                                      |          |              |             |                           |                       |              |                             |                                     |                                  |
| 3                                      |          |              |             |                           |                       |              |                             |                                     |                                  |
| 4                                      |          |              |             |                           |                       |              |                             |                                     |                                  |
| <b>Average of Results Obtained (%)</b> |          |              |             |                           |                       | <b>96.87</b> |                             |                                     |                                  |
| <b>Point Earned</b>                    |          |              |             |                           |                       | <b>10</b>    |                             |                                     |                                  |

### SECTION B: STUDENT FEEDBACK (MAX 20 POINTS)

(Note: min.75% students' data, as per annexure I)

| Sr No                           | Term-CAY    | Subject Code | Course Name | Average Student Feedback on the scale of 20 | Supporting Document Page, No | Point Approved by Reporting Officer | Point Approved by Review Officer |
|---------------------------------|-------------|--------------|-------------|---|------------------------------|-------------------------------------|----------------------------------|
| A                               | B           | C            | D           | E   | F                            | G                                   | H                                |
| 1                               | Odd -20-21  | 3715905      | CCEL        | 18  |                              |                                     |                                  |
| 2                               | Even -20-21 | 3725910      | OSHS        | 15  |                              |                                     |                                  |
| 3                               |             |              |             |   |                              |                                     |                                  |
| 4                               |             |              |             |   |                              |                                     |                                  |
| 5                               |             |              |             |   |                              |                                     |                                  |
| <b>Average of points earned</b> |             |              |             | <b>16.50</b>                                |                              |                                     |                                  |

### SECTION C: DEPARTMENTAL ACTIVITIES (MAX 10 POINTS)

| Sr No                      | Term-CAY    | Activity            | Point earned | Criteria      | Supporting Document Page No | Point Approved by Reporting Officer | Point Approved by Review Officer |
|----------------------------|-------------|---------------------|--------------|---------------|-----------------------------|-------------------------------------|----------------------------------|
| A                          | B           | C                   | D            | E             | F                           | G                                   | H                                |
| 1                          | Odd -20-21  | Lab In charge       | 03           | 03 point/Term |                             |                                     |                                  |
| 2                          | Odd -20-21  | NBA/NAAC Work       | 03           | 03 point/Term |                             |                                     |                                  |
| 3                          | Odd -20-21  | Program Coordinator | 03           | 03 point/Term |                             |                                     |                                  |
| 4                          | Odd -20-21  | Library In charge   | 03           | 03 point/Term |                             |                                     |                                  |
| 5                          | Odd -20-21  |                     | 03           | 03 point/Term |                             |                                     |                                  |
| 6                          | Even -20-21 | Lab In charge       | 03           | 03 point/Term |                             |                                     |                                  |
| 7                          | Even -20-21 | NBA/NAAC Work       | 03           | 03 point/Term |                             |                                     |                                  |
| 8                          | Even -20-21 | Program Coordinator | 03           | 03 point/Term |                             |                                     |                                  |
| 9                          | Even -20-21 | Library In charge   | 03           | 03 point/Term |                             |                                     |                                  |
| 10                         | Even -20-21 |                     |              | 03 point/Term |                             |                                     |                                  |
| <b>Total points earned</b> |             |                     | <b>10</b>    |               |                             |                                     |                                  |

**SECTION D: INSTITUTE/UNIVERSITY ACTIVITIES (MAX 10 POINTS)**

| Sr No               | Term-CAY    | Activity                   | Point earned | Criteria      | Supporting Document Page No | Point Approved by Reporting Officer | Point Approved by Review Officer |
|---------------------|-------------|----------------------------|--------------|---------------|-----------------------------|-------------------------------------|----------------------------------|
| A                   | B           | C                          | D            | E             | F                           | G                                   | H                                |
| 1                   | Odd -20-21  | Head of Institution        | 03           | 03 point/Term |                             |                                     |                                  |
| 2                   | Odd -20-21  | Training Placement Officer | 03           | 03 point/Term |                             |                                     |                                  |
| 3                   | Odd -20-21  | Rector/Warden              | 03           | 03 point/Term |                             |                                     |                                  |
| 4                   | Odd -20-21  | NAAC Coordinator           | 03           | 03 point/Term |                             |                                     |                                  |
| 5                   | Odd -20-21  |                            | 03           | 03 point/Term |                             |                                     |                                  |
| 6                   | Even -20-21 | Head of Institution        | 03           | 03 point/Term |                             |                                     |                                  |
| 7                   | Even -20-21 | Training Placement Officer | 03           | 03 point/Term |                             |                                     |                                  |
| 8                   | Even -20-21 | Rector/Warden              | 03           | 03 point/Term |                             |                                     |                                  |
| 9                   | Even -20-21 | NAAC Coordinator           | 03           | 03 point/Term |                             |                                     |                                  |
| 10                  | Even -20-21 |                            |              | 03 point/Term |                             |                                     |                                  |
| Total points earned |             |                            | <b>10</b>    |               |                             |                                     |                                  |

**SECTION E: ACR MAINTAINED (MAX 20\*/30\*\* POINTS)**

(Includes Research Paper/Patent Publication, Funded Project, PhD/PG Student guided etc)

\*Asst Professor max points 20 can be earned from Sr No 1 to 12

\*\*For Asso Professor and Professor: Sr No 1 to 5: Max 10, Sr No: 6 to 9: Max-10 & Sr No: 10 to 12: Max-10 points earned

| C no | Academic/ Research Activity   | Faculty of Sciences /Engineering / Agriculture / Medical/ paramedical /Veterinary Sciences | Faculty of Languages/ Humanities/Arts / Social Sciences/ Library/Education /Physical Education / Commerce/ Management and other related disciplines | Point earned | Supporting Document Page No | Point Approved by Reporting Officer | Point Approved by Review Officer |
|------|---|--|---|--------------|-----------------------------|-------------------------------------|----------------------------------|
| 1    | <b>Research Papers in Peer-Reviewed (SCI/ Scopus/ WoS etc) or UGC listed journals</b> | Points as per guideline  | Points as per guideline   |              |                             |                                     |                                  |
| 2    | <b>Publication (other than Research papers)</b>                                       |  |   |              |                             |                                     |                                  |
|      | <b>a) Books authored which are published by</b>                                       |  |   |              |                             |                                     |                                  |
|      | International Publishers  | 12   | 12  |              |                             |                                     |                                  |
|      | National Publishers   | 10   | 10  |              |                             |                                     |                                  |
|      | Chapter in Edited Book  | 05   | 05  |              |                             |                                     |                                  |
|      | Editor of Book by International Publisher   | 10   | 10  |              |                             |                                     |                                  |
|      | Edited of a book by National publisher  | 08   | 08  |              |                             |                                     |                                  |
|      | <b>b) Translation works in Indian and Foreign Languages by qualified faculties</b>    |  |   |              |                             |                                     |                                  |



|   |  |                          |                          |  |  |  |  |
|---|--|--------------------------|--------------------------|--|--|--|--|
|   | Chapter or research paper  | 03                       | 03                       |  |  |  |  |
|   | Book   | 08                       | 08                       |  |  |  |  |
| 3 | <b>Creation of ICT mediated Teaching-Learning Pedagogy and content and development of new and innovative courses and curricula</b> |                          |                          |  |  |  |  |
|   | Development of innovative pedagogy   | 05                       | 05                       |  |  |  |  |
|   | Design of new curricula and courses  | 02 per curricula /course | 02 per curricula /course |  |  |  |  |
| 4 | <b>MOOCs</b>   |                          |                          |  |  |  |  |
|   | Development of complete MOOCs in 4 quadrants (4 credit course) (In case of MOOCs of lesser credits 05marks/credit)                 | 20                       | 20                       |  |  |  |  |
|   | MOOCs (developed in 4 quadrant) per module/lecture   | 05                       | 05                       |  |  |  |  |
|   | Content writer/subject matter expert for each module of MOOCs (at least one quadrant)  | 02                       | 02                       |  |  |  |  |
|   | Course Coordinator for MOOCs (4 credit course) (In case of MOOCs of lesser   | 08                       | 08                       |  |  |  |  |
| 5 | <b>E-Content</b>   |                          |                          |  |  |  |  |
|   | Development of e-Content in 4 quadrants for a complete course/e-book   | 12                       | 12                       |  |  |  |  |
|   | E-Content (developed in 4 quadrants) per module  | 05                       | 05                       |  |  |  |  |

|           |   |  |  |  |  |  |  |
|-----------|---|--|--|--|--|--|--|
|           | Contribution to the development of e-content module in the complete course/paper/e-book (Atleast one quadrant)  | 02   | 02   |  |  |  |  |
|           | Editor of e-content for complete course/paper /e-book   | 10   | 10   |  |  |  |  |
| <b>6</b>  | <b>Research guidance</b>  |  |  |  |  |  |  |
|           | PhD.  | 10 per degree awarded, 05 per thesis submitted | 10 per degree awarded<br>05 per thesis submitted |  |  |  |  |
|           | M.Phil./P.G dissertation  | 02 per degree submitted                        | 02 per degree submitted                          |  |  |  |  |
| <b>7</b>  | <b>Research Projects Completed</b>  |  |  |  |  |  |  |
|           | More than 10 lakhs  | 10   | 10   |  |  |  |  |
|           | Less than 10 lakhs  | 05   | 05   |  |  |  |  |
|           | Research Projects Ongoing:  |  |  |  |  |  |  |
|           | More than 10 lakhs  | 05   | 05   |  |  |  |  |
|           | Less than 10 lakhs  | 02   | 02   |  |  |  |  |
| <b>8</b>  | <b>Consultancy</b>  | 03   | 03   |  |  |  |  |
| <b>9</b>  | <b>Patents</b>  |  |  |  |  |  |  |
|           | International   | 10   | 10   |  |  |  |  |
|           | National  | 07   | 07   |  |  |  |  |
| <b>10</b> | <b>Policy Document (Submitted to an international body/organization Like UNO/UNESCO/ world bank/International Monetary Fund etc. or Central Government or State Government)</b> |  |  |  |  |  |  |
|           | International   | 10   | 10   |  |  |  |  |
|           | National  | 07   | 07   |  |  |  |  |
|           | State   | 04   | 04   |  |  |  |  |
| <b>11</b> | <b>Awards/Fellowship</b>  |  |  |  |  |  |  |
|           | International   | 07   | 07   |  |  |  |  |
|           | National  | 05   | 05   |  |  |  |  |

|    |  |    |    |  |  |  |  |
|----|--|----|----|--|--|--|--|
| 12 | <b>Invited lectures / Resource Person/ paper presentation in Seminars/ Conferenced /full paper in Conference Proceedings (Paper presented in Seminars/ Conferences and also published as a full paper in Conference Proceedings will be counted only once)</b> |    |    |  |  |  |  |
|    | International (Abroad)   | 07 | 07 |  |  |  |  |
|    | International (within country)   | 05 | 05 |  |  |  |  |
|    | National   | 03 | 03 |  |  |  |  |
|    | State/University   | 02 | 02 |  |  |  |  |
|    |  |    |    |  |  |  |  |

**SECTION F: CONTRIBUTION TO SOCIETY (MAX 10 POINTS)**

| Sr No               | Term-CAY   | Activity       | Point earned | Criteria           | Supporting Document Page No | Point Approved by Reporting Officer | Point Approved by Review Officer |
|---------------------|------------|----------------|--------------|--------------------|-----------------------------|-------------------------------------|----------------------------------|
| A                   | B          | C              | D            | E                  | F                           | G                                   | H                                |
| 1                   | Odd -20-21 | Yoga Class     | 03           | 05 point/ activity |                             |                                     |                                  |
| 2                   | Odd -20-21 | Digital Bharat | 03           | 05 point/ activity |                             |                                     |                                  |
| Total points earned |            |                | <b>10</b>    |                    |                             |                                     |                                  |

**SUMMARY**

|   | CAY | CAYm1 | CAYm2 |
|---|-----|-------|-------|
| (A) Teaching and Learning Process (Max 20 points) |     |       |       |
| (B) Student's Feedback (Max 20 points)            |     |       |       |
| (C) Departmental Activities (Max 10 Points)       |     |       |       |
| (D) Institute Activities (Max 10 Points)          |     |       |       |
| (E) ACR Maintained (Max 20 Points)                |     |       |       |
| (F) Contribution to Society (Max 10 Points)       |     |       |       |
| TOTAL (Max 100 points)                            |     |       |       |
| Total on 10 point scale                           |     |       |       |

|                       |  |                                  |  |
|-----------------------|--|----------------------------------|--|
| Signature of Faculty: |  | Signature of Director /Principal |  |
| Name of Faculty       |  | Name of Director /Principal      |  |
| Date                  |  | Date                             |  |



**Section-II (To be filled by Reporting Officer)**

**REPORTING OFFICER INFORMATION**

|   |  |
|---|--|
| Name  |  |
| Designation                                 |  |
| Academic Year                               |  |
| PAR Duration                                |  |
| Approved Appraisal Score in 10 points scale |  |

**Observations: (In respect of the weightage of activities claimed):**

.....  
 .....  
 .....

**Recommendations/Remedial Measures suggested:**

.....  
 .....  
 .....

Date : .....

SEAL

Signature of Reporting Authority

**Section-III ((To be filled by Reviewing Officer)**

**Recommendations / Approval of Reviewing authority/Officer**

.....  
 .....  
 .....

Date : .....

SEAL

Signature of Reviewing Authority



## Guideline for Calculation of Credit Points

The 360 Degree Score shall be determined based on the following parameters.

1. Teaching and Learning Process (Max 20 points)
2. Students' Feedback (Maximum Point 20)
3. Departmental Activities (Maximum Point 10)
4. Institute Activity (Maximum Point 10)
5. ACR (Maximum Point 30)
6. Contribution to Society (Maximum Point 10)

The candidate shall submit a calculation sheet for each academic year to be considered and a summary sheet exhibiting his score on a 10 point scale

### 1. Teaching and Learning Process (Maximum Points 20)

The calculation shall be presented in a table. The table will have the details of courses taught in the academic year in consideration, like Semester, course Code / Name, No. of scheduled classes, actually held classes including practical sessions. It also includes the academic results of the courses taught in the academic year. The total shall be reduced on a 20-point scale.

### 2. Students' Feedback (Maximum Points 20)

The candidate shall submit an average score for each course taught during the academic year under consideration on a scale of 20. The average total of all such scores shall be used.

### 3. Departmental Activities (Maximum Points 10)

This section summarizes all the responsibilities assigned by the Head of the Department/School/Section to a teacher during the academic year under consideration through a proper office order. This may include responsibilities like Lab I/C, Timetable I/C, NBA - AICTE work, sponsored projects, consultancy work, departmental newsletter etc. The candidate will earn 3 points per academic term for each activity up to a maximum of 10.

### 4. Institute Activity (Maximum Points 10)

This section summarises all the responsibilities assigned by the Head of the institute/university to the candidate during the academic year under consideration through a proper office order. This may include responsibilities like Head of Department/School/Section, Coordinator, Warden, Training and Placement officer, Estate Officer etc. The candidate will earn 5 points per academic term for each activity up to a maximum of 10.

### 5. ACR (Maximum points 30)

ACR maintained at Department/School/Section institute level shall have 30 points based on grading of research/patent/book publication as well as the contribution made towards

establishing the research ecosystem in the institution. The participation in various funding schemes, an award achieved during academic/assessment year. The Research score for research papers would be calculated as follows, Peer-Reviewed or UGC-listed Journals (Impact factor to be determined as per Thomson Reuter's list):

- Paper in refereed journals without impact factor - 02/03 Points
- Paper with impact factor less than 1 - 04/05 Points
- Paper with impact factor between 1 and 2 - 05/06 Points
- Paper with impact factor between 2 and 5 - 06/07 Points
- Paper with impact factor between 5 and 10 - 07/08 Points
- Paper with impact factor >10 - 08/10 Points
- Two authors: 70% of the total value of (earned points) publication for each author.
- More than two authors: 70% of the total value of (earned points) publication for the First/Principals/Corresponding author and 30% of the total value of publication for each of the joint authors.
- Joint Projects: Principal Investigator and Co-investigator would get 50% each

#### 6. Contribution to Society (Maximum Points 10)

The candidate is involved in different initiatives by AICTE. The candidate will earn 5 points per term for each activity. The claim should be supported by an office order/ official communication from the Head of the Institute. The total of points shall be converted to a 10 points scale.

**(Annexure-I)**  
**STUDENT'S FEEDBACK FORM**

(To be used by institutions)

|               |  |                      |  |
|---------------|--|----------------------|--|
| Academic year |  | Name of faculty      |  |
| Course        |  | Semester             |  |
|               |  | Date of the feedback |  |

**For getting filled in through student**

|   |  |   |  |  |  |
|---|--|---|--|--|--|
| Total no of registered students<br>$NS_R$ |  | Total no of students Participated<br>$NS_P$ |  | Total no of students participated in % |  |
|---|--|---|--|--|--|

| Sr.no   | Description  | No of the student opted |           |            |            |            | Weighted Average<br>$WA_i$ |
|---|--|-------------------------|-----------|------------|------------|------------|----------------------------|
|   |  | Poor                    | Good      | Moderate   | Very Good  | Excellent  |                            |
|   |  | $W_1 = 0$               | $W_2 = 5$ | $W_3 = 10$ | $W_4 = 15$ | $W_5 = 20$ |                            |
|   |  | $NS_1$                  | $NS_2$    | $NS_3$     | $NS_4$     | $NS_5$     |                            |
| 1.  | Has the Teacher covered the entire Syllabus As prescribed by University? |                         |           |            |            |            |                            |
| 2   | Has the Teacher covered relevant topics beyond the syllabus              |                         |           |            |            |            |                            |
| • Effectiveness of Teacher in terms of          |  |                         |           |            |            |            |                            |
| 3   | Technical content/ course content  |                         |           |            |            |            |                            |
| 4   | Communication skills   |                         |           |            |            |            |                            |
| 5   | Use Of teaching aids   |                         |           |            |            |            |                            |
| 6   | Pace on which contents were covered                                      |                         |           |            |            |            |                            |
| 7   | Motivation and inspiration for students to learn                         |                         |           |            |            |            |                            |
| • Support for the development of Students skill |  |                         |           |            |            |            |                            |

|  |   |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|
| 8  | Practical demonstration                           |  |  |  |  |  |  |
| 9  | Hands-on training                                 |  |  |  |  |  |  |
| 10   | Clarity of expectation of students                |  |  |  |  |  |  |
| 11   | Feedback provided on Student's progress           |  |  |  |  |  |  |
| 12   | Willingness to offer help and advice to Students. |  |  |  |  |  |  |
| The average point earned on a scale of 20 is |   |  |  |  |  |  |  |

- NS= No of students participated in the feedback (min 75% of the registered students), in case of less than 75% student participation, particular criteria will be not considered for the evaluation
- Weighted Average  $WA_j = \frac{\sum W_j * NS_j}{NS_p}$





# **GUJARAT TECHNOLOGICAL UNIVERSITY**

## **Internal Quality Assurance Cell**

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